



Sheet 1 of 2

|  |  |  |                     |            |
|--|--|--|---------------------|------------|
| Form PTO-146 (TRADEMARK OFFICE)  |  | U.S. Department of Commerce<br>Patent and Trademark Office | ATTORNEY DOCKET NO. | SERIAL NO. |
| <b>INFORMATION DISCLOSURE STATEMENT</b><br><b>BY APPLICANT</b><br><i>(Use several sheets if necessary)</i> |  | 1708   | 10/768,502          |            |
|  |  | APPLICANT  | Lori Lisa Carrigan  |            |
|  |  | FILING DATE  | GROUP               |            |
|  |  | January 30, 2004   |                     |            |

IDC-US Ref -11

**U.S. PATENT DOCUMENTS**

| Examiner Initial |     | Document Number | Date       | Name                                   | Class | Subclass | Filing Date If Appropriate |
|------------------|-----|-----------------|------------|--|-------|----------|----------------------------|
| JM               | A1  | 5,792,915       | 8/11/1998  | Carrigan et al.                        |       |          | 1/29/1997                  |
|                  | A2  | 6,313,381       | 11/6/2001  | Lori Lisa Carrigan                     |       |          | 1/24/2000                  |
|                  | A3  | 6,166,303       | 12/2000    | 9/26/2000 Joachim Kramer Finney et al. |       |          | 2/26/1999                  |
|                  | A4  | 6,124,534       | 9/26/2000  | Joachim Kramer                         |       |          | 2/26/1999                  |
|                  | A5  | 6,225,537       | 5/1/2001   | Todd Piper                             |       |          | 3/1/1999                   |
|                  | A6  | 5,859,320       | 1/12/1999  | Lori Lisa Carrigan                     |       |          | 1/31/1997                  |
|                  | A7  | 6,215,049       | 4/10/2001  | Todd Piper                             |       |          | 3/1/1999                   |
|                  | A8  | 5,545,813       | 8/13/1996  | Todd Piper                             |       |          | 1/31/1995                  |
|                  | A9  | 5,689,036       | 11/18/1997 | Todd Piper                             |       |          | 3/5/1996                   |
|                  | A10 | 5,082,992       | 1/21/1992  | Ambrose et al.                         |       |          | 11/1/1988                  |
| EM               | A11 | 6,693,231       | 2/17/2004  | Lori Lisa Carrigan                     |       |          | 1/24/2000                  |

**FOREIGN PATENT DOCUMENTS**

|  |  | Document Number | Date | Country | Class | Subclass | Translation Yes | No |
|--|--|-----------------|------|---------|-------|----------|-----------------|----|
|  |  |                 |      |         |       |          |                 |    |

IDC-Other Ref -8

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

|    |     |  |
|----|-----|--|
| JM | A12 | Plant Variety Protection Certificate No. 9700200 for Corn PH0AV, issued October 27, 2000   |
|    | A13 | Plant Variety Protection Certificate No. 200000224 for Corn PH50P, issued January 30, 2002 |
|    | A14 | Plant Variety Protection Certificate No. 9900417 for Corn PH1CP, issued November 6, 2001   |
|    | A15 | Plant Variety Protection Certificate No. 9900376 for Corn PH1K2, issued November 6, 2001   |
|    | A16 | Plant Variety Protection Certificate No. 200000221 for Corn PH51H, issued January 13, 2002 |
|    | A17 | Plant Variety Protection Certificate No. 9500214 for Corn PHRFS, issued January 31, 1997   |
|    | A18 | Plant Variety Protection Certificate No. 8800212 for Corn PHP02, issued January 31, 1989   |
| EM | A19 | Plant Variety Protection Certificate No. 200000223 for Corn PH1GD, issued January 13, 2002 |